

## Terms and Conditions for Research Services Subscription

### Agreement between Client ("You") and TRENDING TRAINING & TRADING (The "Research Analyst")

TRENDING TRAINING TRADING is a SEBI-registered Research Analyst (RA) under Registration No. INH000010380 from Oct 25, 2022; BSE Enlistment No. 5635. By subscribing to the research services, clients acknowledge and agree to the following terms and conditions:

#### 1. Availing the Research Services:

By accepting delivery of the research service, you (the "Client") confirm that you have elected to subscribe to the research service of the Research Analyst at your sole discretion. The Research Analyst confirms that research services shall be rendered in accordance with the applicable provisions of the RA Regulations.

#### 2. Obligation on Research Analyst:

Both the Research Analyst and you (the "Client") shall be bound by the SEBI Act and all the applicable rules and regulations of SEBI, including the RA Regulations and relevant notifications of the Government, as may be in force from time to time.

#### 3. Client Information and KYC:

You (the "Client") shall furnish all such details in full as may be required by the Research Analyst in its standard form with supporting details, if required, as may be made mandatory by RAASB/SEBI from time to time. The Research Analyst shall collect, store, upload, and/or check KYC records with the KYC Registration Agency (KRA) as specified by SEBI from time to time.

#### 4. Consideration and Mode of Payment:

The client shall duly pay to the Research Analyst the agreed fees for the services that the Research Analyst renders to the client and statutory charges, as applicable. Such fees and statutory charges shall be payable through the specified manner and modes communicated by the Research Analyst (including but not limited to payment gateways or processors like Instamojo, Cashfree, Razorpay, Stripe, Jodo, NEFT, IMPS, Centralized Fee Collection Mechanism for RA, Cheque, etc.). The maximum fee charged by the Research Analyst, per SEBI (RA) Regulations, shall not exceed Rs.1.51 lakhs per annum per family of clients, unless otherwise notified by SEBI. The Research Analyst may collect 3 months' advance payment based on mutual agreement.

## 5. Termination of Service and Refund of Fees:

### Termination by Research Analyst:

The Research Analyst can collect a maximum of three months' advance fees, and as per your advance fees, the same validity is provided (if payment is partial, then a pro-rata basis validity of service will be allotted to the client). The advance fees paid are non-refundable except if the Research Analyst's license is terminated or cancelled by SEBI or voluntarily surrendered by the Research Analyst. In such cases, a pro-rata basis refund will be initiated by the Research Analyst to the client. The Research Analyst reserves the right to suspend or terminate the provision of research services to clients in the event of suspension or cancellation of its registration with SEBI. In case the certificate of registration of the Research Analyst is suspended for a period exceeding sixty (60) days or is cancelled, the Research Analyst shall refund the subscription fees to the client on a pro-rata basis for the period from the effective date of such suspension or cancellation to the end of the subscription period.

### Termination by You (the Client):

By accepting and providing mutual consent to these terms and conditions, and subsequently making payment, you agree to be bound by all the terms outlined herein. Once payment is made, no refund will be issued for any fees paid to date, except as specified in the cancellation terms below.

### Refund Policy:

Subscription Type	Cancellation Period	Refund Details
One-Month Subscription	Within 48 hours of payment	100% refund of the subscription fee.
Two-Month Subscription	Within 72 hours of payment	One month's subscription fee retained by RA, remaining balance refunded.
Three-Month Subscription	Within 120 hours of payment	One month's subscription fee retained by RA, remaining balance refunded.

### Important Notes:

- Cancellation requests must be made within the specified time periods to qualify for a refund.
- If the cancellation request is made after the applicable time frame, no refund will be issued.

## 6. Risk Factors:

Investments in securities markets are inherently risky and subject to market dynamics. Registration granted by SEBI, and certification from NISM in no way guarantee the performance of the intermediary or provide any assurance of returns to clients.

#### 7. **Conflict of Interest:**

The Research Analyst adheres to SEBI's guidelines on the disclosure and mitigation of actual or potential conflicts of interest. Full disclosures are provided in each research report.

#### 8. **Grievance Redressal and Dispute Resolution:**

For any support-related grievances, including issues related to non-receipt of reports or deficiencies in service, clients must email their concerns to [krishna.malu.ksm@gmail.com](mailto:krishna.malu.ksm@gmail.com). If unresolved, grievances must be escalated to the designated grievance officer. All grievances will be addressed within 7 (seven) business days or as per the latest SEBI RA Regulations.

##### **Grievance Contact Details:**

Compliance Officer: Krishnakumar Malu | Plot no 54, Manakratan Nagar, Jalana, Maharashtra, 431203 | 9422893394 | [krishna.malu.ksm@gmail.com](mailto:krishna.malu.ksm@gmail.com)

For more details regarding grievance-related matters, please refer to the grievance redressal section of our website: <https://t3trade.in>

#### 9. **Use of Research Reports:**

All research reports and related information are confidential and intended solely for the subscriber. Unauthorized distribution, reproduction, or use of these materials is strictly prohibited. Clients must independently assess all recommendations, and the Research Analyst assumes no responsibility for any losses incurred.

#### 10. **Mandatory Notice:**

Clients shall be requested to go through Do's and Don'ts while dealing with the Research Analyst as specified in SEBI master circular no. SEBI/HO/MIRSD-POD-1/P/CIR/2024/49 dated May 21, 2024, or as may be specified by SEBI from time to time.

#### 11. **Optional Centralized Fee Collection Mechanism:**

TRENDING TRAINING & TRADING, in compliance with SEBI guidelines, informs clients about the availability of an optional Centralized Fee Collection Mechanism (CeFCoM) for the payment of fees. Clients opting for this mechanism will be provided with necessary guidance and instructions at the time of subscription.

#### 12. **Use of Artificial Intelligence:**

TRENDING TRAINING & TRADING may occasionally use Artificial Intelligence (AI) tools in its research services to enhance the quality and efficiency of the recommendations provided to clients. In accordance with Regulation 24(7) of the SEBI (Research Analyst) Regulations, 2014:

- We take full responsibility for the security, confidentiality, and integrity of client data used in conjunction with AI tools.
- We ensure compliance with applicable laws regarding the use of AI tools.

### 13. Most Important Terms and Conditions (MITC):

#### **Non-Execution of Trades by Research Analyst:**

The terms and conditions and the consent thereon are for the research services provided by the Research Analyst, and the Research Analyst cannot execute or carry out any trade (purchase/sell transaction) on behalf of you (the client). Thus, you (the client) are advised not to permit the Research Analyst to execute any trade on your (the client) behalf.

#### **No Assured Returns**

All investments in securities markets are subject to market risks. Registration with SEBI and certifications from NISM do not guarantee returns. We do not offer any profit-sharing model or assure any returns. To review the details of Most Important Terms and Conditions (MITC), please refer to the MITC section of our website: <https://t3trade.in> We will revise MITC based on changes standardized by the Industry Standards Forum (ISF) in consultation with SEBI and RAASB. This will be updated on our website.

#### **Usage**

The Client agrees to use Our Services for personal benefit and consumption only. Unauthorized distribution or reproduction of Our research reports or content is strictly prohibited and can attract appropriate penal action by the Research Analyst.

#### **No Representation on Our Behalf**

You shall not describe yourself as Our agent or make any representations or warranties on Our behalf. You shall not permit execution of trades by the Research Analyst on your behalf.

#### **Accuracy of Information**

All information provided by you must be accurate and complete. We are not liable for losses or damages arising from any inaccuracy in the data you provide.

#### **Confidentiality & IP Rights**

All research reports, materials, and intellectual property provided by Us remain Our property. Clients shall maintain the confidentiality of such materials and shall not share them without Our explicit written consent.

#### **Performance Disclaimer**

Past performance is not indicative of future performance.

#### 14. Standard Terms of Service:

By subscribing to our services, the Client acknowledges and agrees to the following:

- "I/We have read and understood the terms and conditions applicable to a research analyst as defined under regulation 2(1)(u) of the SEBI (Research Analyst) Regulations, 2014, including the fee structure."
- "I/We are subscribing to the research services for our own benefits and consumption, and any reliance placed on the research report provided by the research analyst shall be as per our own judgment and assessment of the conclusions contained in the research report."
- "I/We understand that –
  - i. Any investment made based on the recommendations in the research report are subject to market risk.
  - ii. Recommendations in the research report do not provide any assurance of returns.
  - iii. There is no recourse to claim any losses incurred on the investments made based on the recommendations in the research report."

#### 15. Declaration from TRENDING TRAINING & TRADING ("Research Analyst"):

- We are duly registered with SEBI as a Research Analyst under Registration No. INH000010380 from Oct 25, 2022; BSE Enlistment No. 5635 and compliant with the SEBI (Research Analyst) Regulations, 2014.
- Currently, we have a valid SEBI registration and the required qualification to render services contemplated under RA regulations.
- We have no material adverse disciplinary history or any conflicts of interest that compromise the integrity of our recommendations.
- The maximum fee charged by the Research Analyst shall not exceed Rs.1.51 lakhs per annum per family of clients excluding any applicable statutory levies or taxes.
- The recommendations provided by us do not provide any assurance of returns.

For more detailed information about the terms and conditions, you may refer to the MITC section of our website: <https://t3trade.in> or contact us directly at [krishna.malu.ksm@gmail.com](mailto:krishna.malu.ksm@gmail.com).

Thanks and Regards:

KRISHNAKUMAR SHRIRANG MALU,  
SEBI Registered Research Analyst  
SEBI Reg No. : INH000010380  
BSE Enlistment No: 5635



 Plot no 54,Manakratan Nagar, Jalana,  
Maharashtra, 431203  
 [krishna.malu.ksm@gmail.com](mailto:krishna.malu.ksm@gmail.com)  
 9422893394  
**Research Analyst :Krishnakumar Malu**  
**INH000010380**

I accept all terms and conditions above and I am providing my consent to it through my mail Id

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Client Name: -----

Client Mobile No: -----

Aadhar No: -----

Client Signature: -----



**TRENDING TRAINING  
& TRADING**